

BASF SE



WKN: BASF11 **ISIN:** DE000BASF111 **Land:** Deutschland

Nachricht vom 10.05.2022 | 10:00

BASF SE: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

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Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

Notification of Major Holdings

1. Details of issuer

Name:	BASF SE
Street:	Carl-Bosch-Straße 38
Postal code:	67056
City:	Ludwigshafen Germany
Legal Entity Identifier (LEI):	529900PM64WH8AF1E917

2. Reason for notification

X	Acquisition/disposal of shares with voting rights
X	Acquisition/disposal of instruments
	Change of breakdown of voting rights
	Other reason:

3. Details of person subject to the notification obligation

Legal entity: The Goldman Sachs Group, Inc.
City of registered office, country: Wilmington, DE, United States of America (USA)

4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached:

04 May 2022

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	0.27 %	4.77 %	5.04 %	918,478,694
Previous notification	0.13 %	4.61 %	4.73 %	/

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
US0552625057	0	164,995	0 %	0.02 %
DE000BASF111	0	2,318,939	0 %	0.25 %
Total	2,483,934		0.27 %	

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %

Right To Recall	Open		2,245,412	0.24 %
Right Of Use	Open		717,330	0.08 %
Swap	07.07.2031		2,370,691	0.26 %
Call Warrant	07.07.2031		1,828,119	0.20 %
Future	17.06.2022		2,263,405	0.25 %
Call Option	21.06.2024		6,598,688	0.72 %
		Total	16,023,645	1.74 %

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Call Warrant	31.12.2030		Cash	1,959,276	0.21 %
Swap	03.05.2032		Cash	1,854,789	0.20 %
Put Option	20.12.2030		Cash	2,116,204	0.23 %
Call Option	31.03.2035		Cash	2,615,362	0.28 %
Put Option	19.12.2025		Physical	4,800,481	0.52 %
Forward	20.12.2024		Cash	617,415	0.07 %
Future	20.12.2030		Cash	13,809,862	1.50 %
			Total	27,773,390	3.02 %

8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).
X Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
The Goldman Sachs Group, Inc.	%	%	%
GSAM Holdings LLC	%	%	%
NNIP Holdings LLC	%	%	%
NNIP UK Holdings I Ltd	%	%	%
NNIP UK Holdings II Ltd	%	%	%
NNIP Holdings I B.V. / NNIP Holdings II B.V.	%	%	%
NN Investment Partners Holdings NV	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
Goldman Sachs (UK) L.L.C.	%	%	%
Goldman Sachs Group UK Limited	%	%	%
Goldman Sachs International Bank	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
GS Global Markets, Inc.	%	%	%
Goldman Sachs Finance Corp International Ltd	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
Goldman Sachs Bank USA	%	%	%
Goldman Sachs Bank Europe SE	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
Goldman, Sachs & Co. Wertpapier GmbH	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
GS Finance Corp.	%	%	%
-	%	%	%

The Goldman Sachs Group, Inc.	⊘	⊘	⊘
IMD Holdings LLC	⊘	⊘	⊘
United Capital Financial Partners, Inc.	⊘	⊘	⊘
United Capital Financial Advisers, LLC	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
Goldman Sachs (UK) L.L.C.	⊘	⊘	⊘
Goldman Sachs Group UK Limited	⊘	⊘	⊘
Goldman Sachs International	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
GSAM Holdings LLC	⊘	⊘	⊘
Goldman Sachs Asset Management, L.P.	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
Murray Street Corporation	⊘	⊘	⊘
Benson Street Limited	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
Goldman Sachs Non-US Americas Holdings LLC	⊘	⊘	⊘
Goldman Sachs Non-US Americas Holdings II LLC	⊘	⊘	⊘
GSEM Bermuda Holdings, L.P.	⊘	⊘	⊘
GS Equity Markets, L.P.	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
Folio Financial, Inc.	⊘	⊘	⊘
Folio Investments Inc.	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
Goldman Sachs & Co. LLC	⊘	⊘	⊘
-	⊘	⊘	⊘
The Goldman Sachs Group, Inc.	⊘	⊘	⊘
The Goldman Sachs Trust Company, National Association	⊘	⊘	⊘
The Goldman Sachs Trust Company of Delaware	⊘	⊘	⊘

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

10. Other explanatory remarks:

Date

09 May 2022

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