

Nachricht vom 16.09.2020 | 15:27

BASF SE: Correction of a release from 11/09/2020 according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of **Europe-wide distribution**

BASF SE

16.09.2020 / 15:27 Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG. The issuer is solely responsible for the content of this announcement.

Notification of Major Holdings

1. Details of issuer

Name:	BASF SE
Street:	Carl-Bosch-Straße 38
Postal code:	67056
City:	Ludwigshafen
	Germany
Legal Entity Identifier (LEI):	529900PM64WH8AF1E917

2. Reason for notification

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	Acquisition/disposal of shares with voting rights
Х	Acquisition/disposal of instruments
	Change of breakdown of voting rights
Х	(Other reason:
	Application of Trading Book Exemption according to sec. 36 para. 1 WpHG
_	

3. Details of person subject to the notification obligation

Legal entity: The Goldman Sachs Group, Inc. City of registered office, country: Wilmington, DE, United States of America (USA)

4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached:

07 Sep 2020

6. Total positions

	% of voting rights	% of voting rights	Total of	Total number of voting
	attached to shares	through instruments	both in %	rights pursuant to Sec.
	(total of 7.a.)	(total of 7.b.1 +	(7.a. +	41 WpHG
		7.b.2)	7.b.)	
New	0.06 %	0.76 %	0.82 %	918,478,694
Previous notification	0.06 %	5.76 %	5.82 %	/

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Abso	lute	In %		
	Direct	Indirect	Direct	Indirect	
	(Sec. 33 WpHG)	(Sec. 34 WpHG)	(Sec. 33 WpHG)	(Sec. 34 WpHG)	
US0552625057	0.00	100,699	0.00 %	0.01 %	
DE000BASF111	0.00	412 , 967	0.00 %	0.04 %	
Total	513,	666	0.0	6 %	

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

	Expiratio		Exercise	e or conversion	Voting righ	nts Vot	cing right
instrument	maturity	date	period		absolu	ite	in
Right to Reca	ll Open				1,349,1	47	0.15
Swap	17.06.202	2	ĺ	i	402,1	36	0.04
Future	18.09.202				56,6		0.01
ucure	10:05:202	0	Total		1,807,9		0.20
			Total	I	1,807,9	00/	0.20
	nts according Expiration		ec. 38 (1) no. Exercise or				77 - 1- 1
Type of instrument	maturity da			Cash or phys		solute	Votir rights i
Put Warrant	31.12.2030			Cash)2 , 267	0.02
Swap	31.12.2030			Cash	1,39	91,447	0.15
Put Option	20.12.2030			Cash	69	98,520	0.08
Call Option	20.12.2030			Cash	1,34	18,241	0.15
Forward	20.12.2024			Cash	1,17	7,462	0.13
Future	15.12.2023			Cash		57 , 681	0.04
				Total		75,619	0.56
Person subj other under issuer (1.) & Full chain	ect to the n taking(s) th of controlle	otific at dir	ation obligati ectly or indir	ect to the notif: on is not contro ectly hold(s) an ing with the ult	lled nor does i interest in th	it cont ne (und	lerlying)
person or l	egal entity:						
Name		% of	voting rights		rights through		cal of bot
			at least 3% or		if at least 5%		at least S
			more)		or more)		or more
The Goldman S	achs Group.				<u>%</u>		
Inc.					Ŭ		
GSAM Holdings	TITIC		90		<u> </u>		
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Inc.	_						
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IMD Holdings LLC	00	oło	୍ଚ
United Capital Financial	90 10	<u>o</u>	90
Partners, Inc.			
United Capital Financial	9	\$ 6	୍ଚ
Advisers, LLC			
The Goldman Sachs Group,	90	<u>%</u>	90
Inc.			
Goldman Sachs (UK)	9	\$ 6	୍ଚ
L.L.C.			
Goldman Sachs Group UK	90	<u>%</u>	90
Limited			
Goldman Sachs	9	\$ 6	୍ଚ
International			

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
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10. Other explanatory remarks:

Date

09 Sep 2020

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