

BASF SE
WKN: BASF11 **ISIN:** DE000BASF111 **Land:** Deutschland

Nachricht vom 06.07.2022 | 10:17

BASF SE: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

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Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

Notification of Major Holdings

1. Details of issuer

Name:	BASF SE
Street:	Carl-Bosch-Straße 38
Postal code:	67056
City:	Ludwigshafen Germany
Legal Entity Identifier (LEI):	529900PM64WH8AF1E917

2. Reason for notification

<input type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input checked="" type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input type="checkbox"/>	Other reason:

3. Details of person subject to the notification obligation

Legal entity: The Goldman Sachs Group, Inc.

City of registered office, country: Wilmington, DE, United States of America (USA)

4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached:

01 Jul 2022

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	0.24 %	5.08 %	5.32 %	918,478,694
Previous notification	0.32 %	4.81 %	5.12 %	/

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
US0552625057	0	212,352	0 %	0.02 %
DE000BASF111	0	1,976,839	0 %	0.22 %
Total	2,189,191		0.24 %	

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %

Right To Recall	Open		1,816,271	0.20 %
Right Of Use	Open		777,373	0.08 %
Future	16.09.2022		2,009,265	0.22 %
Call Warrant	07.07.2031		1,831,671	0.20 %
Call Option	21.06.2024		6,459,188	0.70 %
Convertible Bond	09.03.2023		36,379	0.004 %
Swap	07.07.2031		2,370,691	0.26 %
		Total	15,300,837	1.67 %

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Call Warrant	31.12.2030		Cash	1,610,700	0.18 %
Swap	30.06.2032		Cash	3,368,854	0.37 %
Put Option	19.12.2025		Physical	5,120,377	0.56 %
Put Option	20.12.2030		Cash	2,398,710	0.26 %
Future	20.12.2030		Cash	14,864,121	1.62 %
Forward	20.12.2024		Cash	368,251	0.04 %
Call Option	31.03.2035		Cash	3,613,174	0.39 %
			Total	31,344,187	3.41 %

8. Information in relation to the person subject to the notification obligation

<input type="checkbox"/>	Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).
<input checked="" type="checkbox"/>	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
The Goldman Sachs Group, Inc.	%	%	%
GSAM Holdings LLC	%	%	%
NNIP Holdings LLC	%	%	%
NNIP UK Holdings I Ltd	%	%	%
NNIP UK Holdings II Ltd	%	%	%
NNIP Holdings I B.V. / NNIP Holdings II B.V.	%	%	%
NN Investment Partners Holdings NV	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
Goldman, Sachs & Co. Wertpapier GmbH	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
GS Global Markets, Inc.	%	%	%
Goldman Sachs Finance Corp International Ltd	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
Goldman Sachs Bank USA	%	%	%
Goldman Sachs Bank Europe SE	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
Goldman Sachs (UK) L.L.C.	%	%	%
Goldman Sachs Group UK Limited	%	%	%
Goldman Sachs International Bank	%	%	%
-	%	%	%
The Goldman Sachs Group, Inc.	%	%	%
GS Finance Corp.	%	%	%

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The Goldman Sachs Group, Inc.			
Goldman Sachs (UK) L.L.C.			
Goldman Sachs Group UK Limited			
Goldman Sachs International			
-			
The Goldman Sachs Group, Inc.			
IMD Holdings LLC			
United Capital Financial Partners, Inc.			
United Capital Financial Advisers, LLC			
-			
The Goldman Sachs Group, Inc.			
GSAM Holdings LLC			
Goldman Sachs Asset Management, L.P.			
-			
The Goldman Sachs Group, Inc.			
Goldman Sachs Non-US Americas Holdings LLC			
Goldman Sachs Non-US Americas Holdings II LLC			
GSEM Bermuda Holdings, L.P.			
GS Equity Markets, L.P.			
-			
The Goldman Sachs Group, Inc.			
Folio Financial, Inc.			
Folio Investments Inc.			
-			
The Goldman Sachs Group, Inc.			
Goldman Sachs & Co. LLC			
-			
The Goldman Sachs Group, Inc.			
The Goldman Sachs Trust Company, National Association			
The Goldman Sachs Trust Company of Delaware			

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

10. Other explanatory remarks:

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Date

05 Jul 2022

06.07.2022 The DGAP Distribution Services include Regulatory Announcements, Financial/Corporate News and Press Releases.
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Language:

English

Company:	BASF SE Carl-Bosch-Straße 38 67056 Ludwigshafen Germany
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