

BASF SE
WKN: BASF11 **ISIN:** DE000BASF111 **Land:** Deutschland

Nachricht vom 05.07.2022 | 19:16

BASF SE: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

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Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

Notification of Major Holdings

1. Details of issuer

| | |
|--------------------------------|-------------------------|
| Name: | BASF SE |
| Street: | Carl-Bosch-Straße 38 |
| Postal code: | 67056 |
| City: | Ludwigshafen Germany |
| Legal Entity Identifier (LEI): | 529900PM64WH8AF1E917 |

2. Reason for notification

| | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Acquisition/disposal of shares with voting rights |
| <input type="checkbox"/> | Acquisition/disposal of instruments |
| <input type="checkbox"/> | Change of breakdown of voting rights |
| <input checked="" type="checkbox"/> | Other reason: voluntary group notification with triggered threshold on subsidiary level |

3. Details of person subject to the notification obligation

Legal entity: BlackRock, Inc.

City of registered office, country: Wilmington, Delaware, United States of America (USA)

4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

5. Date on which threshold was crossed or reached:

28 Jun 2022

6. Total positions

| | % of voting rights attached to shares (total of 7.a.) | % of voting rights through instruments (total of 7.b.1 + 7.b.2) | Total of both in % (7.a. + 7.b.) | Total number of voting rights pursuant to Sec. 41 WpHG |
|-----------------------|---|---|----------------------------------|--|
| New | 5.18 % | 0.54 % | 5.72 % | 918,478,694 |
| Previous notification | 5.08 % | 0.64 % | 5.72 % | / |

7. Details on total positions

a. Voting rights attached to shares (Sec. 33, 34 WpHG)

| ISIN | Absolute | | In % | |
|--------------|-----------------------|-------------------------|-----------------------|-------------------------|
| | Direct (Sec. 33 WpHG) | Indirect (Sec. 34 WpHG) | Direct (Sec. 33 WpHG) | Indirect (Sec. 34 WpHG) |
| DE000BASF111 | 0 | 47,289,137 | 0 % | 5.15 % |
| US0552625057 | 0 | 297,621 | 0 % | 0.03 % |
| Total | 47,586,758 | | 5.18 % | |

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

| Type of instrument | Expiration or | Exercise or | Voting rights | Voting |
|--------------------|---------------|-------------|---------------|--------|
|--------------------|---------------|-------------|---------------|--------|

| | maturity date | conversion period | absolute | rights in % |
|-----------------------------------|---------------|-------------------|-----------|-------------|
| Lent Securities (right to recall) | N/A | N/A | 4,043,483 | 0.44 % |
| | | Total | 4,043,483 | 0.44 % |

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

| Type of instrument | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|-------------------------|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
| Call Option (Physical) | 16/09/22 | N/A | Physical | 857,000 | 0.09 % |
| Contract for Difference | N/A | N/A | Cash | 63,602 | 0.01 % |
| | | | Total | 920,602 | 0.10 % |

8. Information in relation to the person subject to the notification obligation

| | |
|---|---|
| | Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.). |
| X | Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity: |

| Name | % of voting rights (if at least 3% or more) | % of voting rights through instruments (if at least 5% or more) | Total of both (if at least 5% or more) |
|---|---|---|--|
| BlackRock, Inc. | % | % | % |
| Trident Merger LLC | % | % | % |
| BlackRock Investment Management, LLC | % | % | % |
| - | % | % | % |
| BlackRock, Inc. | % | % | % |
| BlackRock Holdco 2, Inc. | % | % | % |
| BlackRock Financial Management, Inc. | % | % | % |
| - | % | % | % |
| BlackRock, Inc. | % | % | % |
| BlackRock Holdco 2, Inc. | % | % | % |
| BlackRock Financial Management, Inc. | % | % | % |
| BlackRock Capital Holdings, Inc. | % | % | % |
| BlackRock Advisors, LLC | % | % | % |
| - | % | % | % |
| BlackRock, Inc. | % | % | % |
| Trident Merger LLC | % | % | % |
| BlackRock Investment Management, LLC | % | % | % |
| Amethyst Intermediate LLC | % | % | % |
| Aperio Holdings LLC | % | % | % |
| Aperio Group, LLC | % | % | % |
| - | % | % | % |
| BlackRock, Inc. | % | % | % |
| BlackRock Holdco 2, Inc. | % | % | % |
| BlackRock Financial Management, Inc. | % | % | % |
| BlackRock International Holdings, Inc. | % | % | % |
| BR Jersey International Holdings L.P. | % | % | % |
| BlackRock (Singapore) Holdco Pte. Ltd. | % | % | % |
| BlackRock (Singapore) Limited | % | % | % |
| - | % | % | % |
| BlackRock, Inc. | % | % | % |
| BlackRock Holdco 2, Inc. | % | % | % |
| BlackRock Financial Management, Inc. | % | % | % |
| BlackRock Holdco 4, LLC | % | % | % |
| BlackRock Holdco 6, LLC | % | % | % |
| BlackRock Delaware Holdings Inc. | % | % | % |
| BlackRock Fund Advisors | % | % | % |
| - | % | % | % |
| BlackRock, Inc. | % | % | % |
| BlackRock Holdco 2, Inc. | % | % | % |
| BlackRock Financial Management, Inc. | % | % | % |
| BlackRock Holdco 4, LLC | % | % | % |
| BlackRock Holdco 6, LLC | % | % | % |
| BlackRock Delaware Holdings Inc. | % | % | % |
| BlackRock Institutional Trust Company, National Association | % | % | % |

| | | | | |
|---|--|--|--|--|
| - | | | | |
| BlackRock, Inc. | | | | |
| BlackRock Holdco 2, Inc. | | | | |
| BlackRock Financial Management, Inc. | | | | |
| BlackRock International Holdings, Inc. | | | | |
| BR Jersey International Holdings L.P. | | | | |
| BlackRock Australia Holdco Pty. Ltd. | | | | |
| BlackRock Investment Management (Australia) Limited | | | | |
| - | | | | |
| BlackRock, Inc. | | | | |
| BlackRock Holdco 2, Inc. | | | | |
| BlackRock Financial Management, Inc. | | | | |
| BlackRock International Holdings, Inc. | | | | |
| BR Jersey International Holdings L.P. | | | | |
| BlackRock (Singapore) Holdco Pte. Ltd. | | | | |
| BlackRock HK Holdco Limited | | | | |
| BlackRock Asset Management North Asia Limited | | | | |
| - | | | | |
| BlackRock, Inc. | | | | |
| BlackRock Holdco 2, Inc. | | | | |
| BlackRock Financial Management, Inc. | | | | |
| BlackRock International Holdings, Inc. | | | | |
| BR Jersey International Holdings L.P. | | | | |
| BlackRock Holdco 3, LLC | | | | |
| BlackRock Canada Holdings LP | | | | |
| BlackRock Canada Holdings ULC | | | | |
| BlackRock Asset Management Canada Limited | | | | |
| - | | | | |
| BlackRock, Inc. | | | | |
| BlackRock Holdco 2, Inc. | | | | |
| BlackRock Financial Management, Inc. | | | | |
| BlackRock International Holdings, Inc. | | | | |
| BR Jersey International Holdings L.P. | | | | |
| BlackRock (Singapore) Holdco Pte. Ltd. | | | | |
| BlackRock HK Holdco Limited | | | | |
| BlackRock Lux Finco S. a r.l. | | | | |
| BlackRock Japan Holdings GK | | | | |
| BlackRock Japan Co., Ltd. | | | | |
| - | | | | |
| BlackRock, Inc. | | | | |
| BlackRock Holdco 2, Inc. | | | | |
| BlackRock Financial Management, Inc. | | | | |
| BlackRock International Holdings, Inc. | | | | |
| BR Jersey International Holdings L.P. | | | | |
| BlackRock Holdco 3, LLC | | | | |
| BlackRock Cayman 1 LP | | | | |
| BlackRock Cayman West Bay Finco Limited | | | | |
| BlackRock Cayman West Bay IV Limited | | | | |
| BlackRock Group Limited | | | | |
| BlackRock International Limited | | | | |
| - | | | | |
| BlackRock, Inc. | | | | |
| BlackRock Holdco 2, Inc. | | | | |
| BlackRock Financial Management, Inc. | | | | |
| BlackRock International Holdings, Inc. | | | | |
| BR Jersey International Holdings L.P. | | | | |
| BlackRock Holdco 3, LLC | | | | |
| BlackRock Cayman 1 LP | | | | |
| BlackRock Cayman West Bay Finco Limited | | | | |
| BlackRock Cayman West Bay IV Limited | | | | |
| BlackRock Group Limited | | | | |
| BlackRock Finance Europe Limited | | | | |
| BlackRock Advisors (UK) Limited | | | | |
| - | | | | |
| BlackRock, Inc. | | | | |

| | | | |
|--|---|---|---|
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Luxembourg Holdco S.a.r.l. | ⊗ | ⊗ | ⊗ |
| BlackRock (Luxembourg) S.A. | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock International Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Life Limited | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Finance Europe Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Investment Management (UK) Limited | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Luxembourg Holdco S.a.r.l. | ⊗ | ⊗ | ⊗ |
| BlackRock Investment Management Ireland Holdings Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Asset Management Ireland Limited | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |

| | | | |
|--|---|---|---|
| BlackRock Luxembourg Holdco S.a.r.l. | ⊗ | ⊗ | ⊗ |
| BlackRock UK Holdco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Asset Management Schweiz AG | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Finance Europe Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Investment Management (UK) Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Fund Managers Limited | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Finance Europe Limited | ⊗ | ⊗ | ⊗ |
| BlackRock (Netherlands) B.V. | ⊗ | ⊗ | ⊗ |
| BlackRock Asset Management Deutschland AG | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Luxembourg Holdco S.a.r.l. | ⊗ | ⊗ | ⊗ |
| BlackRock Investment Management Ireland Holdings Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Asset Management Ireland Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Solutions Funds ICAV | ⊗ | ⊗ | ⊗ |
| - | ⊗ | ⊗ | ⊗ |
| BlackRock, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 2, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock Financial Management, Inc. | ⊗ | ⊗ | ⊗ |
| BlackRock International Holdings, Inc. | ⊗ | ⊗ | ⊗ |
| BR Jersey International Holdings L.P. | ⊗ | ⊗ | ⊗ |
| BlackRock Holdco 3, LLC | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman 1 LP | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay Finco Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Cayman West Bay IV Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Group Limited | ⊗ | ⊗ | ⊗ |
| BlackRock Finance Europe Limited | ⊗ | ⊗ | ⊗ |
| BlackRock (Netherlands) B.V. | ⊗ | ⊗ | ⊗ |
| BlackRock Asset Management Deutschland AG | ⊗ | ⊗ | ⊗ |

| | | | |
|---|---|---|---|
| iShares (DE) I Investmentaktiengesellschaft mit Teilgesellschaftsvermögen | % | % | % |
| - | % | % | % |

9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

| Proportion of voting rights | Proportion of instruments | Total of both |
|-----------------------------|---------------------------|---------------|
| % | % | % |

10. Other explanatory remarks:

| |
|--|
| |
|--|

Date

| |
|-------------|
| 01 Jul 2022 |
|-------------|

05.07.2022 The DGAP Distribution Services include Regulatory Announcements, Financial/Corporate News and Press Releases.
Archive at www.dgap.de

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Company: BASF SE
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67056 Ludwigshafen
Germany
Internet: www.basf.com

End of News

DGAP News Service

DGAP – ein Service der EQS Group AG

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